

### 8.7 Factorization in $\mathbb{Z}[x]$ .

Given  $a \in \mathbb{Z}[x] \setminus \mathbb{Z}$  find the irreducible factorization over  $\mathbb{Z}$ .

Ex.

$$\begin{aligned} \text{Over } \mathbb{Z} \quad x^4 - 4 &= (x^2 - 2)(x^2 + 2) \\ \text{Over } \mathbb{R} \quad x^4 - 4 &= (x - \sqrt{2})(x + \sqrt{2})(x^2 + 2). \end{aligned}$$

Consider  $a = 36x^6 - 4x^2 = 4 \cdot (9x^6 - x^2) = 4 \cdot (9x^4 - 1) \cdot x^2$

$\uparrow$  content       $\uparrow$  do not factor       $\downarrow$  ??  
 $(3x^2 - 1)(3x^2 + 1)$

Before 1970 polynomial factorization used integer factorization.

$$a = 9x^4 - 1 \stackrel{?}{=} (3x^2 - 1)(3x^2 + 1)$$

$$x=10 \quad a(10) = 89999 = \overset{x-3}{7} \cdot \overset{x+3}{13} \cdot \overset{2x+3}{23} \cdot \overset{4x+3}{43} \quad \times$$

$$\begin{aligned} 7 \cdot 13 &= 91 = 100 - 10 + 1 \longrightarrow x^2 - x + 1 \\ 7 \cdot 23 &= 161 = 200 - 40 + 1 \longrightarrow 2x^2 - 4x + 1 \\ 7 \cdot 43 &= 301 = 300 + 1 \longrightarrow 3x^2 + 1 \quad \checkmark \end{aligned}$$

$$a(11) = 131768 = 2^3 \cdot 7 \cdot 13 \cdot 181 \quad 6 \text{ factors} \rightarrow \text{more combos.}$$

$$\checkmark a(12) = 186623 = 431 \cdot 433$$

Method is intractible — factorization over  $\mathbb{Z}$ .

Let  $a \in \mathbb{Z}[x]$ ,  $\text{cont}(a) = 1$ ,  $\text{gcd}(a, a') = 1$ ,  $a = f_1 \cdot f_2 \cdots f_e$  where  $f_i$  is irreducible over  $\mathbb{Z}$ .

Factor(a) mod p;

Let  $p$  be a prime and suppose we factor  $\phi_p(a)$  over  $\mathbb{Z}_p$ . Then

$$\phi_p(a = f_1 f_2 \cdots f_e) = \underbrace{\phi_p(f_1)}_{g_1 \cdot g_2} \cdot \underbrace{\phi_p(f_2)}_{g_3 \cdot g_4 \cdot g_5} \cdots \underbrace{\phi_p(f_e)}_{g_i}$$

Factoring

E.g.  $a = 9x^4 - 1 = (3x^2 - 1)(3x^2 + 1) \quad \ell = 2$   
 $p = 5 \quad = (3x^2 - 1)(3x^2 + 1)$   
 $p = 7 \quad = (3x^2 - 1) \cdot \underline{3(x-3)(x+3)}$   
 $\times p = 3 \quad = 2$

Lemma: If  $p \nmid \ell(a)$ , then #factors over  $\mathbb{Z}_p \geq \ell$ .

Let  $D_p(a)$  be the set of possible degrees of factors of  $a \in \mathbb{Z}[x]$  inferred from the factorization of  $a$  over  $\mathbb{Z}_p$ .

E.g.  $a = 85x^5 + 55x^4 + 37x^3 + 35x^2 - 97x - 50$  possible degrees of  $a$ .  
 $p = 2 \quad a = (x+1)(x^4 + x^3 + x^2 + x + 1) \quad \{1, 4, 5\}$   
 $p = 3 \quad a = (x+1)(x^4 + x^2 + x + 1) \quad \{1, 4, 5\}$

$\times p = 5 \quad a = 0 \cdot x^4$

$p = 7 \quad a = (x^2 + 5x + 5)(x^3 + 6x + 4) \quad \{2, 3, 5\}$

Now  $\cap D_p(a) = \{5\} \Rightarrow a$  is irreducible over  $\mathbb{Z}$ .

E.g.  $a = x^4 + 5x^2 + 4 = ??$

$p = 5 \quad a = (x-2)(x+2)(x-1)(x+1)$

Set  $u_0 = x-2 \quad w_0 = (x+2)(x-1)(x+1)$

Lift  $a - u_0 w_0 \equiv 0 \pmod{p}$  to  $a - u^{(n)} w^{(n)} \equiv 0 \pmod{p^n}$  using H.L.

Similarly lift  $u_0 = x+2, u_0 = x-1, u_0 = x+1$  and lift them.

Obtain  $a = 1 \cdot (x-182)(x+182)(x-261)(x+261) \pmod{p^4}$

Test if  $x-182, x+182, x-261, x+261$  divide  $a$ ? None do.

Test if  $\Rightarrow a$  has no linear factors. products of pairs of these four factors mod 625 divide  $a$ ?

$f_1 = (x-182)(x+182) = x^2 + 1 \pmod{625}$ .

We find  $x^2 + 1 \mid a$ .  $a \leftarrow a/f_1 = x^2 + 4$ .

Since  $x^2 + 4$  has no linear factor it's irreducible.

Output  $(x^2 + 1)(x^2 + 4)$ .

Mignotte factor bound:  
 $f \mid a \Rightarrow \|f\|_\infty \leq 2^{\deg f} \sqrt{\deg f} \|a\|_\infty$   
 $p^n \geq 2 \cdot \|f\|_\infty \leq \lfloor 2 \cdot 2^4 \sqrt{5 \cdot 5} \rfloor = 357$   
 $p^4 = 5^4 = 625 > 357$ .