

Computing multivariate polynomial GCDs using sparse interpolation: the problem of unlucky evaluation points and evaluation cost.

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This is joint work with Adriano Aarce, Lucas Hu, John Kluessner
and Hao Zhuang.

The GCD Problem

Input: A and B in $\mathbb{Z}[x_0, x_1, \dots, x_n]$. **Output:** $G = \gcd(A, B)$.

Assume: $G = x_0^d + \sum_{i=0}^{m-1} c_i(x_1, \dots, x_n)x_0^i$.

For prime p and points $\alpha_j \in \mathbb{Z}_p^n$ interpolate c_i from images

$$\gcd(A(x_0, \alpha_j), B(x_0, \alpha_j)) = G(x_0, \alpha_j) = x_0^m + \sum_{i=0}^{m-1} c_i(\alpha_j)x_0^i.$$

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Sparse interpolation: Let $\mathbf{t} = \max_i \#c_i$ and $d_i = \deg_{x_i} G$ and $\mathbf{d} = \max_i d_i$ and $\mathbf{D} = \deg G$.

Large GCD example: $n = 8$, $t = 1000$, $d = 20$ and $D = 60$.

Zippel [1979]	$O(ndt)$ points	$p > 2nd^2t^2 = 6.4 \times 10^9$.
BenOr/Tiwari [1988]	$O(t)$ points	$p > p_n^D = 19^{60} = 5.3 \times 10^{77}$.
Discrete Logs	$O(t)$ points	$p > (d+1)^n = 21^8 = 3.7 \times 10^{10}$.

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1. The Ben-Or/Tiwari method and discrete logarithms
2. Unlucky evaluation points and Kronecker substitutions
3. Cost of evaluating $A(x, \alpha_j)$ and $B(x, \alpha_j)$
4. Cilk C implementation and benchmarks.

Ben-Or Tiwari Sparse Interpolation

Let $C(x_1, \dots, x_n) = \sum_{i=1}^t a_i M_i(x_1, \dots, x_n)$ where $a_i \in \mathbb{Z}$.

Input: $v_j = C(2^j, 3^j, 5^j, \dots, p_n^j)$ for $j = 0, 1, \dots, 2t - 1$.

Let $m_i = M_i(2, 3, 5, \dots, p_n) \in \mathbb{Z}$ and $\lambda(z) = \prod_{i=1}^t (z - m_i)$.

Step 1 Compute $\lambda(z)$ from v_j using the BM algorithm.

Step 2 Factor $\lambda(z)$ to determine the $m_i \in \mathbb{Z}$.

Step 3 Factor the integers m_i .

E.g. if $M_1 = x_1^3 x_2^2 x_3^4$ then $m_1 = 2^3 3^2 5^4 = 45000$

Step 4 Solve the transposed Vandermonde system

$$\begin{bmatrix} 1 & 1 & \dots & 1 \\ m_1 & m_2 & \dots & m_t \\ m_1^2 & m_2^2 & \dots & m_t^2 \\ \vdots & \vdots & \vdots & \vdots \\ m_1^{t-1} & m_2^{t-1} & \dots & m_t^{t-1} \end{bmatrix} \begin{bmatrix} a_1 \\ a_2 \\ a_3 \\ \vdots \\ a_t \end{bmatrix} = \begin{bmatrix} v_0 \\ v_1 \\ v_2 \\ \vdots \\ v_{t-1} \end{bmatrix}.$$

Problem: A severe expression swell occurs in step 1 over \mathbb{Q}

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Step 1 Compute $\lambda(z)$ from v_j using BM _____ $O(t^2)$

Step 2 Factor $\lambda(z)$ to determine the $m_i \in \mathbb{Z}$ _____ $O(t^2 \log p)$

Step 3 Factor the integers m_i _____ $O(dt)$

E.g. if $M_1 = x_1^3 x_2^2 x_3^5$ then $m_1 = 2^3 3^2 5^4 = 45000$

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Compute mod a prime $p > p_n^d$ (m_i unique mod p) = $19^{60} = 5.3 \times 10^{77}$.

Ben-Or/Tiwari using discrete logarithms in \mathbb{Z}_p

[GLL 2006] Symbolic-numeric sparse interpolation of multivariate polynomials.

- ▶ Pick a prime $p = q_1 q_2 q_3 \dots q_n + 1$
with $\gcd(q_i, q_j) = 1$ and $q_i > \deg_{x_i} G \implies p > (d + 1)^n$.
- ▶ Pick a random primitive element $\alpha \in \mathbb{Z}_p$ and
set $\omega_j := \alpha^{(p-1)/q_j} \implies \omega_j^{q_j} = 1$.
- ▶ Replace $(2^j, 3^j, \dots, p_n^j)$ with $(\omega_1^j, \omega_2^j, \dots, \omega_n^j)$ in BT.
Hence if $M_i = \prod_{k=1}^n x_k^{d_k}$ we have $m_i = \prod_{k=1}^n \omega_k^{d_k}$.

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Step 3 Compute the discrete logarithm $x := \log_\alpha m_i$. Solve $\alpha^x = m_i \pmod p$
for $0 \leq x < p-1$ using Pohlig-Hellman + Shanks in $O(\sum_i \sqrt{q_i})$.

$$\log_\alpha m_i = \log_\alpha \prod_{k=1}^n \omega_k^{d_k} = \sum_{k=1}^n d_k \log_\alpha \omega_k = \sum_{k=1}^n d_k \frac{p-1}{q_k}$$

Hence

$$x = d_1(q_2 q_3 \dots q_n) + d_2(q_1 q_3 \dots q_n) + \dots + d_n(q_1 q_2 \dots q_{n-1})$$

Solve this mod q_i for d_i for $1 \leq i \leq n$.

Unlucky Evaluation Points

Let $\bar{A} = A/G$ and $\bar{B} = B/G \implies \gcd(\bar{A}, \bar{B}) = 1$.

Assume \bar{A} and \bar{B} are monic in x_0 .

Definition. A point $\alpha \in \mathbb{Z}_p^n$ is unlucky if $\gcd(\bar{A}(x_0, \alpha), \bar{B}(x_0, \alpha)) \neq 1$.

Example. $\bar{A} = x_0^2 + (x_1 - 1)(x_2 - 9)x_0 + 1$ Unlucky α ?
 $\bar{B} = x_0^2 + 1$

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 $\bar{B} = x_0^2 + 1$ $(1, \blacksquare)$ and $(\blacksquare, 9)$

Theorem: If $D \geq \max(\deg \bar{A}, \deg \bar{B})$ then $\text{Prob}[\alpha \text{ is unlucky}] \leq \frac{D^2}{p}$.

Zippel pick $p > 2^k(d^2 n(d+1)t = 7.5 \times 10^8)$ and use **random** $\alpha \in \mathbb{Z}_p^n$.

Proof:

$$\begin{aligned} \gcd(\bar{A}(x, \alpha), \bar{B}(x, \alpha)) \neq 1 &\iff \text{res}_x(\bar{A}(x, \alpha), \bar{B}(x, \alpha)) = 0 \\ &\iff \underbrace{\text{res}_x(\bar{A}, \bar{B})}_{R \in \mathbb{Z}_p[x_1, \dots, x_n]}(\alpha) = 0 \end{aligned}$$

We have $\deg R \leq \deg(\bar{A}) \deg(\bar{B}) = D^2$ from Sylvester's matrix.

The theorem follows from the Schwarz-Zippel Lemma.

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 $\bar{B} = x_0^2 + 1$

Ben-Or/Tiwari $\alpha_j = (2^j, 3^j, 5^j, \dots, p_n^j)$ for $0 \leq j < 2t$.
Need $2t$ consecutive lucky evaluation points.

we first solve the transposed Vandermonde system

$$\begin{bmatrix} 1 & 1 & \dots & 1 \\ m_1 & m_2 & \dots & m_t \\ \vdots & \vdots & \vdots & \vdots \\ m_1^{t-1} & m_2^{t-1} & \dots & m_t^{t-1} \end{bmatrix} \begin{bmatrix} b_1 \\ b_2 \\ \vdots \\ b_t \end{bmatrix} = \begin{bmatrix} v_s \\ v_{s+1} \\ \vdots \\ v_{s+t-1} \end{bmatrix}$$

$\mathbf{V} \qquad \mathbf{b} \qquad \mathbf{u}$

using the $O(t^2)$ method to obtain $b = V^{-1}u$. Observe that the matrix $W = DV$ where D be the t by t diagonal matrix with $D_{i,i} = m_i^s$. To solve $Wc = u$ we have

$$(DV)c = u \implies c = (V^{-1}D^{-1})u = D^{-1}(V^{-1}u) = D^{-1}b$$

Thus $c_i = um_i^{-s}$ and we can solve $Wc = u$ in $O(t^2 + t \log s)$ multiplications.

Unlucky Evaluation Points

Example.
$$\begin{aligned}\bar{A} &= x_0^2 + (x_1 - 1)(x_2 - 9)x_0 + 1 \\ \bar{B} &= x_0^2 + 1\end{aligned}$$

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Pick $q_i > 2t \implies p > (2t)^n = (2000)^8 = 2.5 \times 10^{27}$.

But we don't know t !

The bound $t < \binom{D+n}{n} = \binom{68}{8} = 7.4 \times 10^9$ is too big.

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Start with $q_i > d$.

If $j = q_i$ is unlucky, probably $x_j = 1$ is unlucky, so restart with new p with q_i doubled in length.

Kronecker Substitutions

For $r > 0$ define $K_r : R[x_0, x_1, \dots, x_n] \rightarrow R[x, y]$ by

$$K_r(f) = f(x, y, y^r, y^{r^2}, \dots, y^{r^{n-1}}).$$

If $d = \deg f$ then K_r is invertible if $r > d$.

Example: GCD in $\mathbb{Z}_p[x, y, z]$.

$$\begin{array}{ll} G = x^2 + y^2 + z^2 & K_3(G) = x^2 + y^2 + y^6 \\ \bar{A} = x^2 - y^2 & K_3(\bar{A}) = x^2 - y^2 \\ \bar{B} = x^4 - yz & K_3(\bar{B}) = x^4 - y^4 \end{array}$$

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Definition: K_r is unlucky if $\gcd(K_r(\bar{A}), K_r(\bar{B})) \neq 1$

Theorem: If $r > d$ the number of unlucky K_r is

$$\leq \frac{n-1}{d+1} \deg(\text{res}_{x_0}(\bar{A}, \bar{B})).$$

For $d \geq \deg G$ try K_r for $r = d+1, d+2, \dots$ until we get a lucky one.

Pick $p = 2^k s + 1$ prime with $p > r^n$ and s small for PH.

Use $\alpha_j = (\beta^j, (\beta^r)^j, \dots, (\beta^{r^{n-1}})^j)$ for $1 \leq j \leq 2t$.

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For random $\alpha \in \mathbb{Z}_p^n$ compute $D_x = \deg_{x_0} \gcd(A(x_0, \alpha), B(x_0, \alpha))$.

Kronecker substitutions and unlucky evaluation points

Example

$$\bar{A} = x_0 + x_1 + \cdots + x_{n-1} + x_n^d$$

$$\bar{B} = x_0 + x_1 + \cdots + x_{n-1} + 1$$

$$\text{res}_{x_0}(\bar{A}, \bar{B}) = 1 - x_n^d$$

$$K_{d+1}(1 - x_n^d) = 1 - y^{d(d+1)^{n-1}} \neq 0$$

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Theorem

$$\text{Let } A = x^m + \sum_{i=0}^{m-1} a_i(y)x^i$$

$$\text{and } B = x^l + \sum_{i=0}^{l-1} b_i(y)x^i \text{ in } \mathbb{Z}_p[x, y]$$

Let $X = |\{0 \leq \beta < p : \gcd(A(x, \beta), B(x, \beta)) \neq 1\}|$. Then

(i) $E[X] =$

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Let $X = |\{0 \leq \beta < p : \gcd(A(x, \beta), B(x, \beta)) \neq 1\}|$. Then

- (i) $E[X] = 1$.
- (ii) $\text{Var}[X] = 1 - 1/p$ if $\deg a_i, b_i > 0$.

Evaluating the input polynomials A and B .

Evaluate $K_r(A)(x, y)$ at $y = \alpha^j$ for $j = 1, 2, \dots, 2t$.

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Write $K_r(A) = \sum_{i=1}^s c_i x^{d_i} y^{e_i}$ in **lex order** with $x > y$.

If $\deg A \leq d$ and $r = d + 1$ then $e_j < (d + 1)^n$.

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for $j = 1, 2, \dots, 2t$ **in parallel** do

 compute $\beta := \alpha^j$

 assemble $Kr(A)(\alpha^j)$ from $\sum_{i=1}^s (c_i \cdot \beta^{e_i}) x^{d_i}$ _____ $O(sn \log d)$

Total cost: $O(stn \log d) \implies$ all the time in $\text{GCD}(A, B)$ is in evaluation!

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But $(\alpha^j)^{e_i} = (\alpha^{e_i})^j$ so

 Precompute $B = [\alpha^{e_1}, \alpha^{e_2}, \dots, \alpha^{e_s}]$ $O(sn \log d)$

 Initialize $C = [c_1, c_2, \dots, c_s]$

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for $j = 1, 2, \dots, 2t$ **in serial** **do**

 compute $C := [B_i \times C_i \bmod p \text{ for } 1 \leq i \leq s]$ **afap** $O(s)$

 assemble $Kr(A)(\alpha^j) = \sum_{i=1}^s C_i x^{d_i}$ $O(s)$

Total cost: $O(sn \log d + st) \implies$ evaluation is quadratic in t .

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For N cores do N evaluations at a time using $B = [\alpha^{Ne_1}, \alpha^{Ne_2}, \dots, \alpha^{Ne_s}]$

Benchmarks

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Benchmarks

Cilk C codes for 31 bit primes and 63 bit primes.

$$G = x^d + c + \sum_{i=1}^{100d-1} c_i x^{d_i} y^{e_i} z^{f_i} \text{ with } 0 \leq d_i, e_i, f_i < d$$

$\#\bar{A} = \#\bar{B} = 100$ terms.

So t is about 100 and $\#A, \#B \leq 10^4 d$ terms.

3 variables, $p = 15 \times 2^{27} + 1$.

d	1 core	eval	4 cores	16 cores	Zippel	Magma
20	0.208	65%	0.073	0.031	0.385	0.89
50	1.004	80%	0.301	0.108	5.174	20.00
100	2.736	85%	0.792	0.262	72.461	228.84
200	6.026	86%	1.723	0.578	693.088	3003.23
500	16.0117	87%	4.492	1.550	10946.081	—

Timings (in seconds) on two Xeon E5-2680 CPUs, 8 cores, 2.2GHz/3.0GHz.

Maximum parallel speedup = $16 \times 2.2/3.0 = 11.7 \times$

Benchmarks

6 variables, $p = 29 \times 2^{57} + 1$.

d	1 core	eval	4 cores	16 cores	Zippel	Magma
10	0.660	72%	0.225	0.096	48.05	106.23
20	1.463	74%	0.473	0.187	191.28	7179.01
50	3.387	72%	1.050	0.397	1191.00	—
100	7.010	73%	2.126	0.760	6415.17	—
200	15.031	74%	4.522	1.629	—	—
500	41.101	74%	12.389	4.425	—	—

9 variables, $p = 29 \times 2^{57} + 1$.

d	1 core	eval	4 cores	16 cores	Zippel	Magma
5	0.353	70%	0.133	0.065	30.87	14.15
10	0.656	71%	0.221	0.089	138.41	69.12
20	1.439	72%	0.466	0.176	664.33	705.84
50	3.654	73%	1.102	0.410	6390.22	3932.64
100	7.320	73%	2.168	0.792	—	—
200	need 127 bit primes					

Thank you!

Kronecker substitutions and unlucky evaluation points

Example: $p = 7$

$$A = x^2 + (a_{11}y + a_{10})x + (a_{22}y^2 + a_{21}y + a_{20})$$

$$B = x^2 + (b_{11}y + b_{10})x + (a_{22}y^2 + b_{21}y + b_{20})$$

k	0	1	2	3	4	5	6	7
F_k	96606636	110666892	56053746	17287200	1728720	0	0	132055
B_k	96018048	112021056	56010528	15558480	2593080	259308	14406	343

F_k counts over p^{10} polynomials the number of polynomials with k unlucky β 's.

$B_k = p^{10} \binom{n}{k} q^k (1-q)^{n-k}$ is a binomial distribution with $q = 1/p$ and $n = p$.