

# In-place Arithmetic for Univariate Polynomials over an Algebraic Number Field

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Joint work with Mahdi Javadi.

## GCDs over algebraic number fields.

Let  $L = \mathbb{Q}(\alpha_1, \alpha_2, \dots, \alpha_k)$ .

Let  $f_1, f_2$  be non-zero in  $L[x_1, x_2, \dots, x_n]$ .

Compute  $g = \gcd(f_1, f_2)$ .

## Modular GCD Algorithms.

[1979]  $k = 0, n \geq 1$  : Zippel (CRT and sparse interpolation)

[1987]  $k = 1, n = 1$  : Langemyr and McCallum (CRT)

[1989]  $k = 1, n = 1$  : Geddes, Gonnet and Smedley

$$\mathbb{Z}[z]/m(z) \xrightarrow{z=a \in \mathbb{Z}} \mathbb{Z}_{m(a)}$$

[1995]  $k = 1, n = 1$  : Encarnacion (CRT + ratrecon)

[2002]  $k \geq 1, n = 1$  : van Hoeij and MM (CRT + ratrecon)

[2004]  $k \geq 1, n \geq 1$  : van Hoeij and MM (dense)

[2007]  $k \geq 1, n \geq 1$  : Javadi and MM (sparse)

[2007]  $k \geq 1, n = 1$  : Moreno Maza and Schost (FFT based)

# Outline

- ▶ Example of GCD in  $L[x]$  in Magma for  $L = \mathbb{Q}(\sqrt[3]{2}, \sqrt{1 + \sqrt[3]{2}})$
- ▶ Using a recursive dense representation.
- ▶ In-place algorithms for  $L_p[x]$ .
- ▶ A benchmark with Magma for arithmetic  $L_p[x]$ .
- ▶ What's in the paper and current work.

# Magma example.

Magma V2.15-15 Tue Nov 17 2009 12:25:02 on maple [Seed = 475287942]  
Type ? for help. Type <Ctrl>-D to quit.

```
> Q := RationalField();
> P1<z1> := PolynomialRing(Q);
> m1 := z1^3-2; L1<z1> := quo<P1|m1>; // L1 = Q[z1]/(z1^3-2)
> P2<z2> := PolynomialRing(L1);
> m2 := z2^2-1-z1; L2<z2> := quo<P2|m2>; // L2 = L1[z2]/(z2^2-1-z1)
> IsField(L2);
true
> L<x> := PolynomialRing(L2);
> f1 := (x-z1-z2+2/3)*(x^2+z1*z2*x-1);
> f2 := (x-z1-z2+2/3)*((z2+z1^2+z1+6)*x-1);
> f1;
x^3 + ((z1-1)*z2 - z1 + 2/3)*x^2 + ((-z1^2+2/3*z1)*z2 -
      z1^2 - z1 - 1)*x + z2 + z1 - 2/3
> f2;
(z2 + (z1^2+z1+6))*x^2 + ((-z1^2-2*z1-16/3)*z2 -
      1/3*z1^2 - 19/3*z1)*x + z2 + z1 - 2/3
> Gcd(f1,f2);
x - z2 - z1 + 2/3
```

## Magma example continued ...

### The monic Euclidean algorithm.

```
> u := LeadingCoefficient(f2); u;  
z2 + z1^2 + z1 + 6  
> f2 := (1/u)*f2; // make f2 monic  
> r1 := f1 mod f2;  
> r1;
```

```
((-121/12675*z1^2 + 2362/12675*z1 - 964/12675)*z2 - 542/12675*z1^2 -  
226/12675*z1 - 11828/12675)*x + (-5702/38025*z1^2 + 638/2925*z1 +  
34282/38025)*z2 - 7129/38025*z1^2 + 30838/38025*z1 - 16786/38025
```

```
> u := LeadingCoefficient(r1); r1 := (1/u)*r1; // make r1 monic  
> f2 mod r1;  
0  
> r1; // = gcd(f1,f2)  
x - z2 - z1 + 2/3
```

## Magma example continued ...

Using the modular algorithm of Monagan and van Hoeij (2002).

```
> p := 13;
> Zp := FiniteField(p);
> P1<z1> := PolynomialRing(Zp);
> m1 := z1^3-2; L1<z1> := quo<P1|m1>;
> P2<z2> := PolynomialRing(L1);
> m2 := z2^2-1-z1; L2<z2> := quo<P2|m2>;
> L<x> := PolynomialRing(L2);
> f1 := (x-z1-z2+2/3)*(x^2+z1*z2*x-1);
> f2 := (x-z1-z2+2/3)*((z2+z1^2+z1+6)*x-1);
> u := LeadingCoefficient(f2); u;
z2 + z1^2 + z1 + 6
> 1/u;
```

Runtime error in '/': Argument is not invertible

```
> IsField(L2);
false
```

## Magma example continued ...

```
> p := 17;
> Zp := FiniteField(p);
...
> f2 := (x-z1-z2+2/3)*((z2+z1^2+z1+6)*x-1);
> u := LeadingCoefficient(f2);

> 1/u;
(13*z1^2 + 15*z1 + 14)*z2 + 12*z1^2 + 6*z1 + 13

> f2 := (1/u)*f2; // make f2 monic
> r1 := f1 mod f2;
> u := LeadingCoefficient(r1); r1 := (1/u)*r1; // make r1 monic
> f2 mod r1;
0
> g17 := r1; g17; // = gcd(f1,f2) mod 17
x + 16*z2 + 16*z1 + 12
```



## Magma example continued ...

Repeat for additional primes.

```
> p := 19;  
...  
> g19 := r1; g19; // = gcd(f1,f2) mod 19  
x + 18*z2 + 18*z1 + 7
```

Apply Chinese remaindering and rational reconstruction.

Maple 13 (X86 64 LINUX)

```
> g17 := x + 16*z2 + 16*z1 + 12:  
> g19 := x + 18*z2 + 18*z1 + 7:  
> g := iratrecon( chrem([g17,g19],[17,19]), 17*19 );
```

$$g := 2/3 + x - z2 - z1$$

If  $g$  divides  $f_1$  and  $f_2$  stop and output  $g$ .

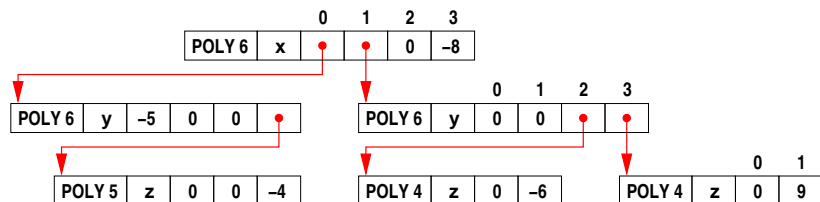
If we represent elements of  $L = \mathbb{Q}(\alpha_1, \alpha_2, \dots, \alpha_k)$  as polynomials in  $\mathbb{Q}[z_1][z_2] \cdots [z_k] \bmod \langle m_1(z_1), m_2(z_2), \dots, m_k(z_k) \rangle$ ,

How do we do arithmetic in  $L[x] \bmod p$  ?

How do we represent elements of  $L[x] \bmod p$  ?

# Recursive Dense Representations for Polynomials.

Pari's recursive dense representation for  $\mathbb{Z}[z][y][x]$ .

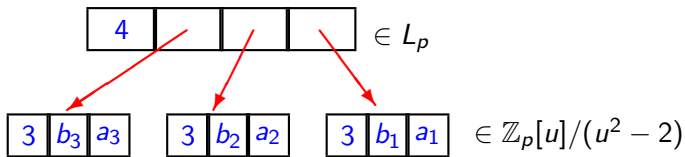


$$(-5y - 4z^2y^3) + (-6zy^2 + 9zy^3)x - 8x^3$$

Let  $L = \mathbb{Q}(\sqrt{2}, \sqrt{5 + \sqrt{2}})$ .

Build  $L_p[x] = \mathbb{Z}_p[u]/(u^2 - 2)[v]/(v^2 - 5 - u)[x]$ .

Use arrays of arrays of arrays of machine integers for  $\mathbb{Z}_p[u][v][x]$ .

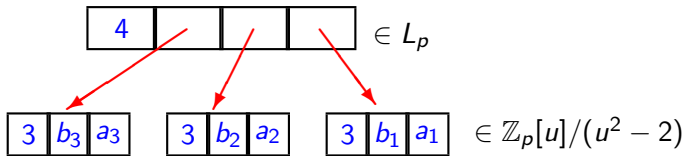


An element  $(a_1u + b_1)v^2 + (a_2u + b_2)v + (a_3u + b_3) \in L_v$ .

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An element  $(a_1u + b_1)v^2 + (a_2u + b_2)v + (a_3u + b_3) \in L_v$ .

Consider  $(au + b) \times (cu + d)$  in  $L_u = \mathbb{Z}_p[u]/(u^2 - 2)$ .

We multiply  $(au + b) \times (cu + d) = [4 \mid bd \mid ad + bc \mid ac]$

then reduce mod  $u^2 - 2$  to get  $[3 \mid ad + bc \mid bd + 2ac]$ .

Real work: 6 multiplications in  $\mathbb{Z}_p$  and 3 additions in  $\mathbb{Z}_p$ .

Main idea: reuse an array  $W$  of working storage to reduce the number of storage allocations from

$O(\deg_x(f_1) \times \deg_x(f_2) \times \deg_{z_2}(m_2)^2 \times \dots \times \deg_{z_k}(m_k)^2)$  to  $O(1)$ .

## Our recursive dense representation.

Let  $\bar{m}_1 = z_1^3 + 3 = m_1 \bmod p$  and

$\bar{m}_2 = z_2^2 + (5z_1 + 4)z_2 + (7z_1^2 + 3z_1 + 6) = m_2 \bmod p$  and

$f = (3 + 4z_1) + (5 + 6z_1)z_2 + ((7 + 8z_1 + 9z_1^2) + 10z_2)x$ .

Representation for  $E = [\bar{m}_1 = m_1 \bmod p, \bar{m}_2 = m_2 \bmod p]$ .

$$E = \underbrace{\begin{array}{|c|c|c|c|c|c|c|c|c|c|c|c|c|} \hline 2 & 2 & 6 & 3 & 7 & 1 & 4 & 5 & 0 & 0 & 1 & 0 & 0 \\ \hline \end{array}}_{\bar{m}_2} \underbrace{\begin{array}{|c|c|c|c|c|} \hline 3 & 3 & 0 & 0 & 1 \\ \hline \end{array}}_{\bar{m}_1}$$

Representation for  $f$  in  $L_p[x]$ .

$$A = \underbrace{\begin{array}{|c|c|c|c|c|c|c|c|c|c|} \hline 1 & 1 & 1 & 3 & 4 & 0 & 1 & 5 & 6 & 0 \\ \hline \end{array}}_{(3 + 4z_1) + (5 + 6z_1)z_2} \underbrace{\begin{array}{|c|c|c|c|c|c|c|c|} \hline 1 & 2 & 7 & 8 & 9 & 0 & 10 & 0 & 0 \\ \hline \end{array}}_{(7 + 8z_1 + 9z_1^2) + 10z_2}$$

## Our in-place C library

Let  $L = \mathbb{Q}(\alpha_1, \dots, \alpha_K)$  and  $D = \deg(L)$ .

Let  $S_K$  be the space to represent one element of  $L \bmod p$ .

We have  $S_K = 1 + \deg(m_K) \cdot S_{K-1} \implies D < S_K < 2D$ .



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**IP\_MUL**(  $K, E, p, a, b, c, W$  );  $|W| < 6S_K$

Multiplies  $a \times b$  in  $L[x] \bmod p$ . Answer is written into  $c$ .

**IP\_REM**(  $K, E, p, a, b, W$  );  $|W| < 6S_K$

Divides  $a$  by  $b$  in  $L[x] \bmod p$ . Quotient and remainder are written into  $a$ .

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**IP\_INV**(  $K, E, p, a, W$  );  $|W| < 15S_K$

Inverts  $a$  in  $L \bmod p$ . Answer is written into  $a$ .

**IP\_GCD**(  $K, E, p, a, b, W$  );  $|W| < 17S_K$

Computes  $\gcd(a, b)$  in  $L[x] \bmod p$ .

Answer is written into either  $a$  or  $b$  (both are destroyed).

Let  $L_k = \mathbb{Z}[z_k][z_{k-1}] \dots [z_1] / \langle m_1, \dots, m_k, p \rangle$ .

Let  $a = \sum_{i=0}^{d_a} a_i x^i$  and  $b = \sum_{i=0}^{d_b} b_i x^i$  where  $a_i, b_i \in L_k[x]$ .

Let  $c = a \times b = \sum_{i=0}^{d_a+d_b} c_i x^i$ . Compute

$$c_j = \left[ \sum_{i=\max(0, j-d_b)}^{\min(j, d_a)} a_i \times b_{j-i} \right] \text{ mod } m_k(z_k)$$

where  $a_i \times b_{j-i}$  is done in  $L_{k-1}[z_k]$ .

- ▶ Needs working storage for  $2 \in O(1)$  elements of  $L_{k-1}[z_k]$  of degree  $2(\deg_{z_k}(m_k) - 1)$ .
- ▶ Division in  $L_k[x]$  can be similarly implemented.

# Benchmark

Here  $p = 3037000453$ ,  $L = \mathbb{Q}(\alpha_1, \alpha_2)$ ,  $m_1$  and  $m_2$  have degrees  $d_1$  and  $d_2$  such that  $d = d_1 \times d_2 = 60$ . We choose three polynomials  $a, b, g$  of degree  $d_x$  in  $x$  with coefficients chosen from  $L_p$  at random.

$d_1$	$d_2$	$d_x$	IP_MUL	MAG_MUL	IP_REM	MAG_REM	IP_GCD	MAG_GCD
2	30	40	0.124	0.050	0.123	0.09	0.384	2.26
3	20	40	0.108	0.054	0.106	0.11	0.340	2.35
4	15	40	0.106	0.056	0.106	0.10	0.327	2.39
6	10	40	0.106	0.121	0.105	0.14	0.328	5.44
10	6	40	0.100	0.093	0.100	0.37	0.303	7.84
15	4	40	0.097	0.055	0.095	0.17	0.283	3.27
20	3	40	0.092	0.046	0.091	0.14	0.267	2.54
30	2	40	0.087	0.038	0.087	0.10	0.242	1.85
2	30	80	0.477	0.115	0.478	0.27	1.449	9.41
3	20	80	0.407	0.127	0.409	0.27	1.304	9.68
4	15	80	0.404	0.132	0.406	0.28	1.253	9.98
6	10	80	0.398	0.253	0.400	0.35	1.234	22.01
10	6	80	0.380	0.197	0.381	0.86	1.151	31.57
15	4	80	0.365	0.127	0.364	0.40	1.081	13.49
20	3	80	0.353	0.109	0.353	0.33	1.030	10.59
30	2	80	0.336	0.086	0.337	0.26	0.932	7.83

Table: Timings in CPU seconds on an AMD Opteron 254 CPU running at 2.8 GHz

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# Integer division optimization.

Multiplication in  $\mathbb{Z}_p[z]$ .

```
M = p*p;
d_c = d_a+d_b;
for( k=0; k<=d_c; k++ ) {
    t = 0;
    for( i=max(0,k-d_b); i <= min(k,d_a); i++ )
    {
        // t = (t+A[i]*B[k-i]) % p;
        if( t<0 ); else t = t-M;
        t = t+A[i]*B[k-i];
    }
    t = t % p;
    if( t<0 ) t = t+p;
    C[k] = t;
}
```

This improved performance of IP\_GCD by a factor of 5 to 6.

## What's in the paper?

- ▶ Pseudo-code for IP\_MUL, IP\_REM, IP\_INV, IP\_GCD.
- ▶ Formulas for bounds for  $|W|$ .
- ▶ Website link for repository of C code and test problems.

## Current and future work.

- ▶ We have integrated IP\_MUL, IP\_GCD into Maple 14.
- ▶ Will integrate IP\_REM into Maple 15.
- ▶ Are experimenting with an FFT based multiplication.